



**CERTIFICATE OF MAILING 37 C.F.R. 1.10**  
EXPRESS MAIL NO. EV 34245837305

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail on the date indicated, addressed to Mail Stop PGPUB, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

7/6/04  
Date

Dora Rios  
Dora Rios

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Michael Capristo §  
§ Attorney Docket No.: 14962.002  
Serial No.: 10/808,169 §  
§  
Filed: March 24, 2004 § Group Art Unit: 1751  
For: **Hair Coloring Apparatus, Kit and  
Associated Methods** §

**PETITION FOR EARLY PUBLICATION UNDER 37 C.F.R. §§ 1.211 and 1.219**

Mail Stop PGPUB  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant petitions for early publication of the above-titled application as early as possible.

The Application, Declaration and Formal Drawings have been submitted and all fees have been paid. Copies of these documents are attached hereto as Attachment 1.

Our check in the amount of \$430 which includes the publication fee of \$300, pursuant to 37 C.F.R. § 1.18(d) and petition fee of \$130, pursuant to 37 C.F.R. § 17(i), are also attached hereto. The Commissioner is authorized to charge any other fees deemed necessary to Deposit Account of Bracewell & Patterson, L.L.P. (50-0259, Order No. 14962.002).

Respectfully submitted,

Date: 7/6/04

Kimberly L. Brown  
Kimberly L. Brown  
Registration 48,698

BRACEWELL & PATTERSON, L.L.P.  
P.O. Box 61389  
Houston, Texas 77208-1389  
(713) 221-1189  
(713) 437-5313-(fax)

07/12/2004 FFANAI02 00000002 10808169

01 FC:1504  
02 FC:1460

300.00 OP  
130.00 OP



In re Patent Application of:  
Michael Capristo

Application No. 10/808,169

## **ATTACHMENT 1**





# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 14962.02

First Inventor Michael Capristo

Title Hair Coloring Apparatus,  
Kit and Associated Methods

Express Mail Label No. EV342458869US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification [Total Pages 60] (preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 8]
- Oath or Declaration [Total Sheets 2]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)
    - i.  DELETION OF INVENTOR(S)
 

Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:  
Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  Paper
- c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of (when there is an assignee)  Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation

Divisional

Continuation-in-part (CIP)

of prior application No.:

Prior application information:

Examiner \_\_\_\_\_

Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

Customer Number: \_\_\_\_\_

OR  Correspondence address below

|                   |                                |                                   |              |
|-------------------|--------------------------------|-----------------------------------|--------------|
| Name              | Jeffrey S. Whittle             |                                   |              |
| Address           | Bracewell & Patterson, LLP     |                                   |              |
|                   | P.O. Box 61389                 |                                   |              |
| City              | State                          | TX                                | Zip Code     |
| Country           | United States                  | Telephone                         | 713-221-1185 |
| Name (Print/Type) | Jeffrey S. Whittle             | Registration No. (Attorney/Agent) | 36,382       |
| Signature         | <i>[Handwritten signature]</i> |                                   |              |

This collection of information is required by 37 CFR 1.52(d). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

*3/24/04*

JUL 06 2004

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PATENT &amp; TRADEMARK OFFICE

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 982)

## Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   | Unassigned       |
| Filing Date          | Herewith         |
| First Named Inventor | Michael Capristo |
| Examiner Name        | Unassigned       |
| Art Unit             | Unassigned       |
| Attorney Docket No.  | 14962.002        |

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

|                        |                           |
|------------------------|---------------------------|
| Deposit Account Number | 500259                    |
| Deposit Account Name   | Bracewell & Patterson LLP |

The Director is authorized to: (check all that apply)

- Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity        | Small Entity  | Fee Description        | Fee Paid |
|---------------------|---------------|------------------------|----------|
| Fee Code (\$)       | Fee Code (\$) |                        |          |
| 1001 770            | 2001 385      | Utility filing fee     | 385      |
| 1002 340            | 2002 170      | Design filing fee      |          |
| 1003 530            | 2003 265      | Plant filing fee       |          |
| 1004 770            | 2004 385      | Reissue filing fee     |          |
| 1005 160            | 2005 80       | Provisional filing fee |          |
| <b>SUBTOTAL (1)</b> |               | (\$ 385)               |          |

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Independent Claims | Multiple Dependent | Extra Claims | Fee from below | Fee Paid |
|--------------|--------------------|--------------------|--------------|----------------|----------|
| 58           | -20** =            | 38                 | X 9          | = 342          |          |
| 8            | - 3** =            | 5                  | X 43         | = 215          |          |
|              |                    |                    |              |                |          |

| Large Entity        | Small Entity  | Fee Description  |
|---------------------|---------------|--|
| Fee Code (\$)       | Fee Code (\$) |  |
| 1202 18             | 2202 9        | Claims in excess of 20                                     |
| 1201 86             | 2201 43       | Independent claims in excess of 3                          |
| 1203 290            | 2203 145      | Multiple dependent claim, if not paid                      |
| 1204 86             | 2204 43       | ** Reissue independent claims over original patent         |
| 1205 18             | 2205 9        | ** Reissue claims in excess of 20 and over original patent |
| <b>SUBTOTAL (2)</b> |               | (\$ 942)   |

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

| Large Entity  | Small Entity  | Fee Description  | Fee Paid |
|---------------|---------------|--|----------|
| Fee Code (\$) | Fee Code (\$) |  |          |
| 1051 130      | 2051 65       | Surcharge - late filing fee or oath  |          |
| 1052 50       | 2052 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053 130      | 1053 130      | Non-English specification  |          |
| 1812 2,520    | 1812 2,520    | For filing a request for ex parte reexamination                            |          |
| 1804 920*     | 1804 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805 1,840*   | 1805 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251 110      | 2251 55       | Extension for reply within first month                                     |          |
| 1252 420      | 2252 210      | Extension for reply within second month                                    |          |
| 1253 950      | 2253 475      | Extension for reply within third month                                     |          |
| 1254 1,480    | 2254 740      | Extension for reply within fourth month                                    |          |
| 1255 2,010    | 2255 1,005    | Extension for reply within fifth month                                     |          |
| 1401 330      | 2401 165      | Notice of Appeal   |          |
| 1402 330      | 2402 165      | Filing a brief in support of an appeal                                     |          |
| 1403 290      | 2403 145      | Request for oral hearing   |          |
| 1451 1,510    | 1451 1,510    | Petition to institute a public use proceeding                              |          |
| 1452 110      | 2452 55       | Petition to revive - unavoidable   |          |
| 1453 1,330    | 2453 665      | Petition to revive - unintentional   |          |
| 1501 1,330    | 2501 665      | Utility issue fee (or reissue)   |          |
| 1502 480      | 2502 240      | Design issue fee   |          |
| 1503 640      | 2503 320      | Plant issue fee  |          |
| 1460 130      | 1460 130      | Petitions to the Commissioner  |          |
| 1807 50       | 1807 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806 180      | 1806 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021 40       | 8021 40       | Recording each patent assignment per property (times number of properties) | 40       |
| 1809 770      | 2809 385      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810 770      | 2810 385      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801 770      | 2801 385      | Request for Continued Examination (RCE)                                    |          |
| 1802 900      | 1802 900      | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40)

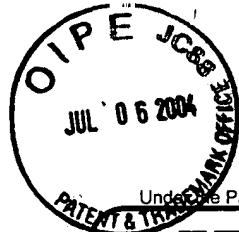
(Complete if applicable)

| Name (Print/Type) | Jeffrey S. Whittle | Registration No. (Attorney/Agent) | 36,382 | Telephone 713-221-1185 |
|-------------------|--------------------|-----------------------------------|--------|------------------------|
| Signature         | [Signature]        |                                   | Date   | 3/24/04                |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



07-07-04

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. O-3 0651-R032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

|                         |         |
|-------------------------|---------|
| TOTAL AMOUNT OF PAYMENT | (\$ 430 |
|-------------------------|---------|

**Complete if Known**

|                      |                  |
|----------------------|------------------|
| Application Number   | 10/808,169       |
| Filing Date          | March 24, 2004   |
| First Named Inventor | Michael Capristo |
| Examiner Name        | Unassigned       |
| Art Unit             | 1751             |
| Attorney Docket No.  | 14962.002        |

**METHOD OF PAYMENT (check all that apply)**

Check  Credit card  Money Order  Other  None

Deposit Account:

Deposit Account Number  
500259  
Deposit Account Name  
Bracewell & Patterson LLP

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee  
 to the above-identified deposit account.

**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity        | Small Entity  | Fee Description        | Fee Paid |
|---------------------|---------------|------------------------|----------|
| Fee Code (\$)       | Fee Code (\$) |                        |          |
| 1001 770            | 2001 385      | Utility filing fee     |          |
| 1002 340            | 2002 170      | Design filing fee      |          |
| 1003 530            | 2003 265      | Plant filing fee       |          |
| 1004 770            | 2004 385      | Reissue filing fee     |          |
| 1005 160            | 2005 80       | Provisional filing fee |          |
| <b>SUBTOTAL (1)</b> |               | <b>(\$ 0)</b>          |          |

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent        | -20** =      | X              | =        |
| Claims             | -3** =       | X              | =        |
| Multiple Dependent |              |                | =        |

| Large Entity        | Small Entity  | Fee Description  |
|---------------------|---------------|--|
| Fee Code (\$)       | Fee Code (\$) |  |
| 1202 18             | 2202 9        | Claims in excess of 20                                     |
| 1201 86             | 2201 43       | Independent claims in excess of 3                          |
| 1203 290            | 2203 145      | Multiple dependent claim, if not paid                      |
| 1204 86             | 2204 43       | ** Reissue independent claims over original patent         |
| 1205 18             | 2205 9        | ** Reissue claims in excess of 20 and over original patent |
| <b>SUBTOTAL (2)</b> |               | <b>(\$ 0)</b>  |

\*\*or number previously paid, if greater. For Reissues, see above

**3. ADDITIONAL FEES**

Large Entity Small Entity

| Fee Code (\$)       | Fee Code (\$) | Fee Description  | Fee Paid |
|---------------------|---------------|--|----------|
| 1051 130            | 2051 65       | Surcharge - late filing fee or oath  |          |
| 1052 50             | 2052 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053 130            | 1053 130      | Non-English specification  |          |
| 1812 2,520          | 1812 2,520    | For filing a request for ex parte reexamination                            |          |
| 1804 920*           | 1804 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805 1,840*         | 1805 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251 110            | 2251 55       | Extension for reply within first month                                     |          |
| 1252 420            | 2252 210      | Extension for reply within second month                                    |          |
| 1253 950            | 2253 475      | Extension for reply within third month                                     |          |
| 1254 1,480          | 2254 740      | Extension for reply within fourth month                                    |          |
| 1255 2,010          | 2255 1,005    | Extension for reply within fifth month                                     |          |
| 1401 330            | 2401 165      | Notice of Appeal   |          |
| 1402 330            | 2402 165      | Filing a brief in support of an appeal                                     |          |
| 1403 290            | 2403 145      | Request for oral hearing   |          |
| 1451 1,510          | 1451 1,510    | Petition to institute a public use proceeding                              |          |
| 1452 110            | 2452 55       | Petition to revive - unavoidable   |          |
| 1453 1,330          | 2453 665      | Petition to revive - unintentional   |          |
| 1501 1,330          | 2501 665      | Utility issue fee (or reissue)   |          |
| 1502 480            | 2502 240      | Design issue fee   |          |
| 1503 640            | 2503 320      | Plant issue fee  |          |
| 1460 130            | 1460 130      | Petitions to the Commissioner  | 130      |
| 1807 50             | 1807 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806 180            | 1806 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021 40             | 8021 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809 770            | 2809 385      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810 770            | 2810 385      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801 770            | 2801 385      | Request for Continued Examination (RCE)                                    |          |
| 1802 900            | 1802 900      | Request for expedited examination of a design application                  |          |
| Other fee (specify) |               | <b>Publication Fee</b>   | 300      |

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** **(\$ 430)****SUBMITTED BY**

(Complete if applicable)

|                   |                   |                                      |        |           |              |
|-------------------|-------------------|--------------------------------------|--------|-----------|--------------|
| Name (Print/Type) | Kimberly L. Brown | Registration No.<br>(Attorney/Agent) | 48,698 | Telephone | 713-221-1189 |
| Signature         | Kimberly L. Brown |                                      |        | Date      | 7/6/04       |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.